

**Example 2** – Consider following functional dependencies in relation R (A, B, C, D)

AB → C [A and B together determine C]

BC → D [B and C together determine D]

In the above relation, AB is the only candidate key and there is no partial dependency, i.e., any proper subset of AB doesn't determine any non-prime attribute.

### Third Normal Form (3NF)

- A relation will be in 3NF if it is in 2NF and not contain any transitive partial dependency.
- 3NF is used to reduce the data duplication. It is also used to achieve the data integrity.
- If there is no transitive dependency for non-prime attributes, then the relation must be in third normal form.

A relation is in third normal form if it holds atleast one of the following conditions for every non-trivial function dependency  $X \rightarrow Y$ .

1. X is a super key.
2. Y is a prime attribute, i.e., each element of Y is part of some candidate key.

#### Example:

#### EMPLOYEE\_DETAIL table:

EMP_ID	EMP_NAME	EMP_ZIP	EMP_STATE	EMP_CITY
222	Harry	201010	UP	Noida
333	Stephan	02228	US	Boston
444	Lan	60007	US	Chicago

555	Katharine	06389	UK	Norwich
666	John	462007	MP	Bhopal

**Super key in the table above:**

1. {EMP\_ID}, {EMP\_ID, EMP\_NAME}, {EMP\_ID, EMP\_NAME, EMP\_ZIP}....so on

**Candidate key:** {EMP\_ID}

**Non-prime attributes:** In the given table, all attributes except EMP\_ID are non-prime.

Here, EMP\_STATE & EMP\_CITY dependent on EMP\_ZIP and EMP\_ZIP dependent on EMP\_ID. The non-prime attributes (EMP\_STATE, EMP\_CITY) transitively dependent on super key(EMP\_ID). It violates the rule of third normal form.

That's why we need to move the EMP\_CITY and EMP\_STATE to the new <EMPLOYEE\_ZIP> table, with EMP\_ZIP as a Primary key.

**EMPLOYEE table:**

EMP_ID	EMP_NAME	EMP_ZIP
222	Harry	201010
333	Stephan	02228
444	Lan	60007
555	Katharine	06389
666	John	462007

**EMPLOYEE\_ZIP table:**

EMP_ZIP	EMP_STATE	EMP_CITY
201010	UP	Noida
02228	US	Boston
60007	US	Chicago
06389	UK	Norwich
462007	MP	Bhopal

**Third Normal form (3NF)**

A table design is said to be in 3NF if both the following conditions hold:

- Table must be in 2NF
- Transitive functional dependency of non-prime attribute on any super key should be removed.

An attribute that is not part of any candidate key is known as non-prime attribute.

In other words 3NF can be explained like this: A table is in 3NF if it is in 2NF and for each functional dependency  $X \rightarrow Y$  at least one of the following conditions hold:

- X is a super key of table
- Y is a prime attribute of table

An attribute that is a part of one of the candidate keys is known as prime attribute.

**Example:** Suppose a company wants to store the complete address of each employee, they create a table named `employee_details` that looks like this:

<code>emp_id</code>	<code>emp_name</code>	<code>emp_zip</code>	<code>emp_state</code>	<code>emp_city</code>	<code>emp_district</code>
1001	John	282005	UP	Agra	Dayal Bagh
1002	Ajeet	222008	TN	Chennai	M-City
1006	Lora	282007	TN	Chennai	Urrapakkam
1101	Lilly	292008	UK	Pauri	Bhagwan
1201	Steve	222999	MP	Gwalior	Ratan

**Super keys:** `{emp_id}`, `{emp_id, emp_name}`, `{emp_id, emp_name, emp_zip}`...so on

**Candidate Keys:** `{emp_id}`

**Non-prime attributes:** all attributes except `emp_id` are non-prime as they are not part of any candidate keys.

Here, emp\_state, emp\_city & emp\_district dependent on emp\_zip. And, emp\_zip is dependent on emp\_id that makes non-prime attributes (emp\_state, emp\_city & emp\_district) transitively dependent on super key (emp\_id). This violates the rule of 3NF.

To make this table complies with 3NF we have to break the table into two tables to remove the transitive dependency:

**employee table:**

emp_id	emp_name	emp_zip
1001	John	282005
1002	Ajeet	222008
1006	Lora	282007
1101	Lilly	292008
1201	Steve	222999

**employee\_zip table:**

emp_zip	emp_state	emp_city	emp_district
282005	UP	Agra	Dayal Bagh
222008	TN	Chennai	M-City
282007	TN	Chennai	Urrapakkam
292008	UK	Pauri	Bhagwan
222999	MP	Gwalior	Ratan

### Third Normal Form –

A relation is in third normal form, if there is **no transitive dependency** for non-prime attributes as well as it is in second normal form.

A relation is in 3NF if **at least one of the following condition holds** in every non-trivial function dependency  $X \rightarrow Y$

1. X is a super key.
2. Y is a prime attribute (each element of Y is part of some candidate key).

STUD_NO	STUD_NAME	STUD_STATE	STUD_COUNTRY	STUD_AGE
1	RAM	HARYANA	INDIA	20
2	RAM	PUNJAB	INDIA	19
3	SURESH	PUNJAB	INDIA	21

**Table 4**

**Transitive dependency** – If  $A \rightarrow B$  and  $B \rightarrow C$  are two FDs then  $A \rightarrow C$  is called transitive dependency.

- **Example 1** – In relation STUDENT given in Table 4,  
 FD set: { $STUD\_NO \rightarrow STUD\_NAME$ ,  $STUD\_NO \rightarrow STUD\_STATE$ ,  
 $STUD\_STATE \rightarrow STUD\_COUNTRY$ ,  $STUD\_NO \rightarrow STUD\_AGE$ }  
 Candidate Key: { $STUD\_NO$ }

For this relation in table 4,  $STUD\_NO \rightarrow STUD\_STATE$  and  $STUD\_STATE \rightarrow STUD\_COUNTRY$  are true. So  $STUD\_COUNTRY$  is transitively dependent on  $STUD\_NO$ . It violates the third normal form. To convert it in third normal form, we will decompose the relation STUDENT ( $STUD\_NO$ ,  $STUD\_NAME$ ,  $STUD\_PHONE$ ,  $STUD\_STATE$ ,  $STUD\_COUNTRY$ ,  $STUD\_AGE$ ) as:  
 STUDENT ( $STUD\_NO$ ,  $STUD\_NAME$ ,  $STUD\_PHONE$ ,  $STUD\_STATE$ ,  $STUD\_AGE$ )  
 STATE\_COUNTRY ( $STATE$ ,  $COUNTRY$ )

- **Example 2** – Consider relation  $R(A, B, C, D, E)$

$A \rightarrow BC$ ,

$CD \rightarrow E$ ,

$B \rightarrow D$ ,

$E \rightarrow A$

All possible candidate keys in above relation are { $A, E, CD, BC$ } All attribute are on right sides of all functional dependencies are prime.

#### **Fourth normal form (4NF)**

- A relation will be in 4NF if it is in Boyce Codd normal form and has no multi-valued dependency.
- For a dependency  $A \rightarrow B$ , if for a single value of  $A$ , multiple values of  $B$  exists, then the relation will be a multi-valued dependency.

Example

### STUDENT

STU_ID	COURSE	HOBBY
21	Computer	Dancing
21	Math	Singing
34	Chemistry	Dancing
74	Biology	Cricket
59	Physics	Hockey

The given STUDENT table is in 3NF, but the COURSE and HOBBY are two independent entity. Hence, there is no relationship between COURSE and HOBBY.

In the STUDENT relation, a student with STU\_ID, **21** contains two courses, **Computer** and **Math** and two hobbies, **Dancing** and **Singing**. So there is a Multi-valued dependency on STU\_ID, which leads to unnecessary repetition of data.

So to make the above table into 4NF, we can decompose it into two tables:

### STUDENT\_COURSE

STU_ID	COURSE
21	Computer

21	Math
34	Chemistry
74	Biology
59	Physics

### STUDENT\_HOBBY

STU_ID	HOBBY
21	Dancing
21	Singing
34	Dancing
74	Cricket
59	Hockey

### Fourth normal form (4NF)

- A relation will be in 4NF if it is in Boyce Codd normal form and has no multi-valued dependency.
- For a dependency  $A \twoheadrightarrow B$ , if for a single value of A, multiple values of B exists, then the relation will be a multi-valued dependency.

Example

### STUDENT

STU_ID	COURSE	HOBBY
21	Computer	Dancing
21	Math	Singing
34	Chemistry	Dancing
74	Biology	Cricket
59	Physics	Hockey

The given STUDENT table is in 3NF, but the COURSE and HOBBY are two independent entity. Hence, there is no relationship between COURSE and HOBBY.

In the STUDENT relation, a student with STU\_ID, **21** contains two courses, **Computer** and **Math** and two hobbies, **Dancing** and **Singing**. So there is a Multi-valued dependency on STU\_ID, which leads to unnecessary repetition of data.

So to make the above table into 4NF, we can decompose it into two tables:

### STUDENT\_COURSE

STU_ID	COURSE
21	Computer

21	Math
34	Chemistry
74	Biology
59	Physics

**STUDENT\_HOBBY**

STU_ID	HOBBY
21	Dancing
21	Singing
34	Dancing
74	Cricket
59	Hockey

**Example** – Consider the database table of a class which has two relations R1 contains student ID(SID) and student name (SNAME) and R2 contains course id(CID) and course name (CNAME).

**Table – R1(SID, SNAME)**

SID	SNAME
S1	A
S2	B
CID	CNAME
C1	C
C2	D

When there cross product is done it resulted in multivalued dependencies:

**Table – R1 X R2**

SID	SNAME	CID	CNAME
S1	A	C1	C
S1	A	C2	D
S2	B	C1	C
S2	B	C2	D

Multivalued dependencies (MVD) are:

SID->>CID; SID->>CNAME; SNAME->>CNAME

Multivalued Dependency

- Multivalued dependency occurs when two attributes in a table are independent of each other but, both depend on a third attribute.
- A multivalued dependency consists of at least two attributes that are dependent on a third attribute that's why it always requires at least three attributes.

**Example:** Suppose there is a bike manufacturer company which produces two colors(white and black) of each model every year.

BIKE_MODEL	MANUF_YEAR	COLOR
M2011	2008	White
M2001	2008	Black
M3001	2013	White
M3001	2013	Black
M4006	2017	White
M4006	2017	Black

Here columns COLOR and MANUF\_YEAR are dependent on BIKE\_MODEL and independent of each other.

In this case, these two columns can be called as multivalued dependent on BIKE\_MODEL.

The representation of these dependencies is shown below:

1. BIKE\_MODEL  $\twoheadrightarrow$  MANUF\_YEAR
2. BIKE\_MODEL  $\twoheadrightarrow$  COLOR

This can be read as "BIKE\_MODEL multidetermined MANUF\_YEAR" and "BIKE\_MODEL multidetermined COLOR".

Join Dependency

- Join decomposition is a further generalization of Multivalued dependencies.
- If the join of R1 and R2 over C is equal to relation R, then we can say that a join dependency (JD) exists.
- Where R1 and R2 are the decompositions R1(A, B, C) and R2(C, D) of a given relations R (A, B, C, D).
- Alternatively, R1 and R2 are a lossless decomposition of R.
- A JD  $\bowtie \{R1, R2, \dots, Rn\}$  is said to hold over a relation R if R1, R2, ..., Rn is a lossless-join decomposition.
- The  $\ast(A, B, C, D), (C, D)$  will be a JD of R if the join of join's attribute is equal to the relation R.
- Here,  $\ast(R1, R2, R3)$  is used to indicate that relation R1, R2, R3 and so on are a JD of R.

#### **Fifth normal form (5NF)**

- A relation is in 5NF if it is in 4NF and not contains any join dependency and joining should be lossless.
- 5NF is satisfied when all the tables are broken into as many tables as possible in order to avoid redundancy.
- 5NF is also known as Project-join normal form (PJ/NF).

Example

SUBJECT	LECTURER	SEMESTER
Computer	Anshika	Semester 1
Computer	John	Semester 1

Math	John	Semester 1
Math	Akash	Semester 2
Chemistry	Praveen	Semester 1

In the above table, John takes both Computer and Math class for Semester 1 but he doesn't take Math class for Semester 2. In this case, combination of all these fields required to identify a valid data.

Suppose we add a new Semester as Semester 3 but do not know about the subject and who will be taking that subject so we leave Lecturer and Subject as NULL. But all three columns together acts as a primary key, so we can't leave other two columns blank.

So to make the above table into 5NF, we can decompose it into three relations P1, P2 & P3:

**P1**

SEMESTER	SUBJECT
Semester 1	Computer
Semester 1	Math
Semester 1	Chemistry
Semester 2	Math

**P2**

SUBJECT	LECTURER
Computer	Anshika
Computer	John
Math	John
Math	Akash
Chemistry	Praveen

**P3**

SEMSTER	LECTURER
Semester 1	Anshika
Semester 1	John
Semester 1	John
Semester 2	Akash
Semester 1	Praveen